

Practitioner's Docket No. 5017-8121 OFFICE OF FINANCE  
REFUND BRANCH

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Black  
Application No.: 09 / 909,456  
Filed: July 19, 2001  
For: TEST MEMBER

2001 SEP 12 PM 12:35

Group No. 1743  
US PATENT & TRADEMARK  
Examiner: OFFICE

#31 Reg for  
Refund  
10-02-01

☐ Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

(complete (a) or (b))

- (a) ☒ ~~A statement claiming small entity status in this application is asserted.~~  
(b) ☐ A statement claiming small entity status was filed in this application on \_\_\_\_\_

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was <sup>charged</sup> ~~paid~~ in this application on 7/24/01 (Dep. Acct. 18-1829) in the amount of \$ 710.00

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

If the fee was changed to a deposit account, a request for refund must be filed within two months of the date of the change to the deposit account.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: September 7, 2001

Signature

Kenneth Solomon

(type or print name of person certifying)

### III. FEES PAID FOR WHICH REFUND REQUESTED

AMOUNT OF  
REFUND  
REQUESTED

☒ Filing fee \$ 355.00

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l)). \$ \_\_\_\_\_

or

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ \_\_\_\_\_

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ \_\_\_\_\_

or

☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ \_\_\_\_\_

☐ Extension of term \$ \_\_\_\_\_

☐ Issue fee \$ \_\_\_\_\_

☐ Patent maintenance fee

☐ first maintenance fee \$ \_\_\_\_\_

☐ second maintenance fee \$ \_\_\_\_\_

☐ third maintenance fee \$ \_\_\_\_\_

☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

☐ Other \$ \_\_\_\_\_

TOTAL REFUND REQUESTED \$ 355.00

#### IV. MANNER OF REFUND

Please make refund by crediting:

- ☒ Deposit Account No. 18-1829
- ☐ Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should *not* be included on this form as it may become public.

- ☐ refunding overpayment.

Reg. No.: 31,427

Tel. No.: (314) 727-5188

Customer No.:



Signature of Practitioner

Kenneth Solomon

(type or print name of practitioner)

Howell & Haferkamp, L.C.  
7733 Forsyth Blvd.

P.O. Address

Suite 1400  
St. Louis, MO 63105



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Account No.	181829
Date	7-31-01
Page	1

HOWELL & HAFERKAMP, L.C.

FINA

7733 FORSYTH BLVD SUITE 1400

ST LOUIS MO 63105

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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
7	3	01	6	09402738	5017-1427	202		
7	5	01	33	09141460	3148-6388	122	40.00	6294.50
7	5	01	34	09141460	3148-6388	279	130.00	6164.50
7	13	01	95	09901807	16896-7990	101	355.00	5809.50
7	13	01	96	09901807	16896-7990	102	710.00	5099.50
7	13	01	97	09901807	16896-7990	103	80.00	5019.50
7	16	01	4	09496512	16658-2891	219	1098.00	3921.50
7	17	01	1	09500818	4088-2686	216	155.00	3766.50
7	17	01	49	09903902	5017-8099	101	195.00	3571.50
7	18	01	3	09474980	6029-2668	117	710.00	2861.50
7	18	01	537	PCT/US01/00908	16541-6897	566	890.00	1971.50
7	18	01	538	PCT/US01/00908	16541-6897	122	15.00	1956.50
7	19	01	49	29136031	5025-7132	205	130.00	1826.50
7	23	01	1	09500818	4088-2686	216	65.00	1761.50
7	24	01	89	09909427	5017-8122	101	-195.00	1956.50
7	24	01	132	09909456	5017-8121	101	710.00	1246.50
7	31	01	168	SERVICE CHARGE		608	710.00	536.50
							25.00	511.50
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.			OPENING BALANCE 6334.50		TOTAL CHARGES 6018.00		TOTAL CREDITS 195.00	CLOSING BALANCE 511.50

